

GUIDANCE RELATING TO THE RULES FOR THE CLASSIFICATION OF STEEL SHIPS

(Guidance for Approval of Service Suppliers)

- External Opinion Inquiry -

2020. 1.



Hull Rule Development Team

- Main Amendments -

(1) Enter into force on 1 July 2020

- To reflect Request for Establishment/Revision of Classification Technical Rules
 - Reflection of IACS UR W35(Requirements for NDT Suppliers)

Present	Amendment	reason
<p style="text-align: center;">INTRODUCTION</p> <p>1. General To approve firms providing services, such as measurement, tests or maintenance of safety systems and equipment, the Society is to apply procedures in this requirements and relevant Appendix Part A or Part B.</p> <p>2. ~3. <Omitted></p> <p>4. Application (1) ~ (4) <Omitted> (5) Detailed requirements specific to the various categories of Service Suppliers are given in Appendix Part A or Part B. National and/or international requirements may given additional requirements. References to such national and/or international requirements are given in Appendix Part A or Part B.</p> <p>5. Procedure for approval and certification (1) Submission of documents (A) The following documents are to be submitted to the Society for review. General requirements concerning Service Suppliers are given in (2), and specific requirements as relevant, in Appendix Part A or Part B. (a) ~ (h) <Omitted> (i) Check lists and record formats for recording results of the services referred to in Appendix Part A or Part B. (j) ~ (o) <Omitted></p>	<p style="text-align: center;">INTRODUCTION</p> <p>1. General To approve firms providing services, such as measurement, tests or maintenance of safety systems and equipment, the Society is to apply procedures in this requirements and relevant Appendix Part A or Part B or Part C.</p> <p>2. ~3. <Same as the present></p> <p>4. Application (1) ~ (4) <Same as the present> (5) Detailed requirements specific to the various categories of Service Suppliers are given in Appendix Part A or Part B or Part C. National and/or international requirements may given additional requirements. References to such national and/or international requirements are given in Appendix Part A or Part B or Part C.</p> <p>5. Procedure for approval and certification (1) Submission of documents (A) The following documents are to be submitted to the Society for review. General requirements concerning Service Suppliers are given in (2), and specific requirements as relevant, in Appendix Part A or Part B or Part C. (a) ~ (h) <Same as the present> (i) Check lists and record formats for recording results of the services referred to in Appendix Part A or Part B or Part C. (j) ~ (o) <Same as the present></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
<p>(2) General requirements (A) ~ (J) <Omitted> (K) Reporting The report shall be prepared in a form acceptable to the Society. The report should detail the results of inspections, measurements, tests, maintenance and/or repairs carried out. Special guidelines may be given in Appendix Part A or Part B. The report shall include a copy of the Certificate of Approval. (L) <Omitted></p> <p>(3) ~ (6) <Omitted></p> <p>6. ~ 8. <Omitted></p> <p>9. Existing approvals Approvals for the categories of Service Suppliers granted before the date of implementation of this Appendix Part A or Part B by the Society may remain valid as stated in the respective certificates for a period up to but not exceeding 3 years. Renewal of such certificates must be carried out in accordance with this Appendix Part A or Part B. ↓</p>	<p>(2) General requirements (A) ~ (J) <Same as the present> (K) Reporting The report shall be prepared in a form acceptable to the Society. The report should detail the results of inspections, measurements, tests, maintenance and/or repairs carried out. Special guidelines may be given in Appendix Part A or Part B or Part C. The report shall include a copy of the Certificate of Approval. (L) <Same as the present></p> <p>(3) ~ (6) <Same as the present></p> <p>6. ~ 8. <Same as the present></p> <p>9. Existing approvals Approvals for the categories of Service Suppliers granted before the date of implementation of this Appendix Part A or Part B or Part C by the Society may remain valid as stated in the respective certificates for a period up to but not exceeding 3 years. Renewal of such certificates must be carried out in accordance with this Appendix Part A or Part B or Part C. ↓</p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
<p>Appendix Part A – Approval of Service Suppliers listed in IACS Z17</p> <p>1. ~ 14. <Omitted></p>	<p>Appendix Part A – Approval of Service Suppliers listed in IACS <u>UR</u> Z17</p> <p>1. ~ 14. <Same as the present></p>	

Present	Amendment	reason
<p><New></p>	<p style="text-align: center;"><u>Appendix Part B Approval of Service Suppliers listed in IACS UR W35</u></p> <p><u>1. Independent NDT company or NDT department/section that forms a part of a shipbuilding company providing NDT services on ship and/or offshore components/structures(UR W35)</u></p> <p><u>1.1 This appendix is not applied to internal NDT department of equipment and materials manufacturers.</u></p> <p><u>1.2 Terms and definitions</u></p> <p><u>(1) NDT</u></p> <p><u>Non-destructive testing. Comprising, but not limited to the method and techniques Magnetic Particle Testing(MT), Penetrant Testing(PT), Radiographic Testing(RT), Digital Radiography(RT-D), Visual Testing(VT), Ultrasonic Testing(UT), Phased Array Ultrasonic Testing(PAUT), Time of Flight Diffraction(TOFD), Eddy Current Testing(ET) and/or Alternating Current Field Measurements(ACFM)</u></p> <p><u>1.3 Extent of Engagement</u></p> <p><u>NDT(Non-destructive Testing) services on ship and/or offshore components/structures.</u></p> <p><u>1.4 Supervisor</u></p> <p><u>(1) The Supplier shall have a supervisors, responsible for the appropriate execution of NDT operations and for the professional standard of the operators and their equipment, including the professional administration of the working procedures. The supplier shall employ, on a full-time basis, at least one supervisor independently certified to Level 3 in the method(s) concerned as per the requirements of 1.9. It is not permissible to appoint Level 3 personnel; they must be certified by an accredited certification body. It is recognised that a Supplier may not directly employ a Level 3 in all the stated methods practiced. In such cases, it is permissible to employ an external, independently certified, Level 3 in those methods not held by the full-time Level 3(s) of the Supplier.</u></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
	<p><u>(2) The supervisor shall be directly involved in review and acceptance of NDT Procedures, NDT reports, calibration of NDT equipment and tools. The supervisor shall on behalf of the Supplier re-evaluate the qualification of the operators annually.</u></p> <p><u>1.5 Operators</u></p> <p><u>(1) The operator carrying out the NDT and interpreting indications, shall as a minimum, be qualified and certified to Level 2 in the NDT method(s) concerned and as described in 1.9.</u></p> <p><u>(2) However, operators only undertaking the gathering of data using any NDT method and not performing data interpretation or data analysis may be qualified and certified as appropriate, at level 1.</u></p> <p><u>(3) The operator shall have adequate knowledge of materials, weld, structures or components, NDT equipment and limitations that are sufficient to apply the relevant NDT method for each application appropriately.</u></p> <p><u>1.6 References</u></p> <p><u>The following referenced documents are to be used for the application of this document as appropriate. For updated references, the latest edition of the referenced document(including any amendments) applies.</u></p> <p><u>(1) ISO 9712:2012(Non-destructive testing-Qualification and certification of NDT personnel)</u></p> <p><u>(2) ISO/IEC 17020:2012(Conformity assessment-Requirements for the operation of various types of bodies performing inspection)</u></p> <p><u>(3) ISO/IEC 17024:2012(Conformity assessment-General requirements for bodies operating certification of persons)</u></p> <p><u>(4) ISO 9001:2015(Quality Management Systems-Requirements)</u></p> <p><u>(5) Other national adoptions of the standards listed above are accepted as compliant and hence are accepted for use together with this appendix.</u></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
	<p><u>1.7 Documents</u></p> <p><u>The following documents shall be available for the Society upon request.</u></p> <p><u>(1) An outline of Supplier's organization and management structure, including any subsidiaries</u></p> <p><u>(2) Information on the structure of the Supplier's Quality Management System</u></p> <p><u>(3) Quality manual and documented procedures covering the requirements given in 1.8</u></p> <p><u>(4) For companies with in-house certification of persons scheme: a written practice developed in accordance with a recognised standard or recommended practice(1.e. ASNT's SNT-TC-1A, 2016, ANSI/ASNT CP-189, 2016 or similar)</u></p> <p><u>(5) Operational work procedures for each NDT method including selection of the NDT technique</u></p> <p><u>(6) Training- and follow-up programmes for NDT operators including practical training on various ship and offshore products</u></p> <p><u>(7) Procedure for supervisor's authorisation of NDT operators</u></p> <p><u>(8) Experience of the Supplier in the specific service area</u></p> <p><u>(9) A list of documented training and experience for NDT operators within the relevant service area, including qualifications and third party certification per ISO 9712:2012 based certification schemes</u></p> <p><u>(10) Description of equipment(s) used for the services performed by the Supplier</u></p> <p><u>(11) A guide for NDT operators to use equipment mentioned above</u></p> <p><u>(12) Record formats for recording results of the services referred to in 1.13</u></p> <p><u>(13) Information on other activities which may present a conflict of interest</u></p> <p><u>(14) Record of customer claims and corrective actions</u></p> <p><u>(15) Any legal proceedings against the company in the past/currently in the courts of law</u></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
	<p><u>1.8 Quality management system</u></p> <p><u>The Supplier shall have a documented quality management system, covering at least:</u></p> <ul style="list-style-type: none"> <u>(1) Work procedures for all tasks and operations, including the various NDT methods and NDT techniques for which the Supplier is involved</u> <u>(2) Preparation, issuance, maintenance and control of documents</u> <u>(3) Maintenance and calibration of the equipment</u> <u>(4) Training programs for the NDT operators and the supervisors</u> <u>(5) Maintenance of records for NDT operators' and the supervisors' training, qualification and certification</u> <u>(6) Certification of NDT operators including revalidation and recertification</u> <u>(7) Procedure for test of operators' visual acuity</u> <u>(8) Supervision and verification of operation to ensure compliance with the NDT procedures</u> <u>(9) Quality management of subsidiaries</u> <u>(10) Job preparation</u> <u>(11) Order reference system where each engagement is traceable to when, who and where the test was carried out</u> <u>(12) Recording and reporting of information, including retention time of records</u> <u>(13) Code of conduct for the Supplier's activities: especially the NDT activities</u> <u>(14) Periodic review of work process procedures</u> <u>(15) Corrective and preventive action</u> <u>(16) Feedback and continuous improvement</u> <u>(17) Internal audits</u> <u>(18) The provision of accessibility to required codes, standards and procedures to assist NDT operators. A documented quality system complying with the most current version of ISO/IEC 17020:2012 and including the above would be considered acceptable. The Supplier should satisfy the requirements of Type A or Type B inspection body, as described in ISO/IEC 17020:2012.</u> 	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
	<p><u>1.9 Qualification and certification of NDT personnel</u></p> <p><u>(1) The Supplier is responsible for the qualification and preferably 3rd party certification of its supervisors and operators to a recognised certification scheme based on ISO 9712:2012.</u></p> <p><u>(2) Personnel qualification to an employer based qualification scheme as e.g. SNT-TC-1A, 2016, or ANSI/ASNT CP-189, 2016 may be accepted if the Supplier's written practice is reviewed and found acceptable by the Society. The Supplier's written practice shall as a minimum, except for the impartially requirements of a certification body and/or authorised body, comply with ISO 9712:2012.</u></p> <p><u>(3) The supervisors' and operators' certificates and competence shall comprise all industrial sectors and techniques being applied by the Supplier.</u></p> <p><u>(4) Level 3 personnel shall be certified by an accredited certification body.</u></p> <p><u>1.10 Equipment</u></p> <p><u>(1) The Supplier shall maintain records of the NDT equipment used and detail information related to maintenance, calibration and verification activities. If the Supplier hires equipment, such equipment shall have updated calibration records, and the operators shall be familiar with the specific equipment type prior to using it. Under any circumstances, the Supplier shall possess sufficient equipment to carry out the services being a part of the NDT scope required by the Society.</u></p> <p><u>(2) Where the equipment is of unique nature, the NDT operators shall be trained by competent personnel in the operation and use of the equipment before carrying out NDT using this equipment.</u></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
	<p><u>1.11 Work instructions and procedures</u></p> <p><u>The Supplier shall produce written procedures for the NDT being applied. These procedures are to be written, verified or approved by the Supplier's Level 3. Procedures shall define all relevant information relating to the inspection including defect evaluation against acceptance criteria in accordance with the Society Rules. All NDT procedures and instructions shall be properly documented in such a way that the performed testing can be easily retraced and/or repeated at a later stage. All NDT procedures are to be acceptable to the Society.</u></p> <p><u>1.12 Sub-contractors</u></p> <p><u>(1) The Supplier shall give information of agreements and arrangements if any part(s) of the services provided are subcontracted. The Supplier, in the following-up of subcontracts shall give emphasis to the quality management system of the subcontractor.</u></p> <p><u>(2) Subcontractors shall meet the same requirements palced on Suppliers for any NDT performed.</u></p> <p><u>1.13 Reporting</u></p> <p><u>(1) All NDT shall be properly documented in such a way that the performed testing and examination can be easily retraced and/or repeated at a later stage. The reports shall identify the defects present in the tested area, and a conclusive statement as to whether the material, weld, component or structure satisfies the acceptance criteria or not.</u></p> <p><u>(2) The report shall include a reference to the applicable standard, NDT procedure and acceptance criteria applied in the applicable NDT method/technique. In general, the acceptance criteria shall comply with the Society Rules.</u></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>

Present	Amendment	reason
<p>Appendix Part B - Approval of Service Suppliers not listed in IACS Z17</p> <p>1. ~ 2. <Omitted></p> <p>3. Firms providing NDT(Non-destructive Testing) services on ship and offshore structure/components-</p> <p>3.1 Extent of Engagement NDT(Non-destructive Testing) services on ship and offshore structure/components</p> <p>3.2 Qualification requirements for a personnel of NDT</p> <p>(1) The personnel engaged in NDT shall have sufficient knowledge and experience and be able to assess the result of the test correctly.</p> <p>(2) As a qualification criteria, the personnel shall possess the license of NDT recognized by administration or equivalents which might be Level II according to KS B ISO 9712, SNT-TC-1A, EN 473, ASNT Central Certification Program(ACCP), but according to above standard, NDT level 2 which was issued by IACS member can be recognized.</p> <p>(3) Personnel responsible for the radiographic and/or ultrasonic inspection activity including approval of procedures should be qualified and certified to Level III. However, where such an independently certified Level III is not available in house, the Service Supplier can access such services from external resources under agreement, as applicable.</p> <p>3.3 Related Rules/Guidances</p> <p>(1) Pt 2 of the Rules/Guidance — materials and welding</p> <p>(2) Pt 5 of the Rules/Guidance — machinery installations ↴</p>	<p>Appendix Part C - Approval of Service Suppliers not listed in IACS <u>UR</u> Z17</p> <p>1. ~ 2. <Same as the present></p>	<p>Reflection of IACS UR W35(Requirements for NDT Suppliers)</p>